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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**  
(Case No. 99,294)

In re Application of:

Brent Townshend

Serial No.: 09/311,617

Filed: May 13, 1999

For: Automated Language Assessment  
Using Speech Recognition Modeling



Group Art Unit: 2741

Examiner: Not Assigned

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**TRANSMITTAL LETTER**

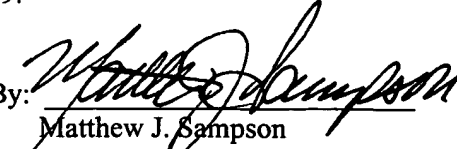
In regard to the above-identified patent application:

1. We are transmitting herewith the attached:
  - A. Notice to File Missing Parts
  - B. Response to Notice to File Missing Parts
  - C. Petition for Three Month Extension of Time
  - D. Executed Declaration/Power of Attorney
  - E. Verified Statement Claiming Small Entity Status
2. With respect to additional fees:
  - A. No additional fee is required.
  - X   B. Attached are checks in the amount of \$562.00  
(to file Notice of Missing Parts) and \$435.00 (to file a  
Three Month Extension of Time

3. Please charge any additional fees or credit overpayments to the Deposit Account No.13-2490. A duplicate copy of this transmittal letter is enclosed.

4.   X   CERTIFICATE UNDER 37 CFR 1.8 (FIRST CLASS MAIL): The undersigned hereby certifies that this Transmittal Letter and the document(s) as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Box Missing Parts Assistant Commissioner for Patents, Washington, D.C. 20231, on this 2d day of November, 1999.

Dated: November 2, 1999

By:   
Matthew J. Sampson  
Reg. No. 35,999

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231,

on \_\_\_\_\_  
Date of Deposit



Matthew Simpson  
Name of Registered Representative  
Matthew Simpson  
Signature  
11/2/99  
Date of Signature

PATENT  
Case No. 99,294

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	
	)	
Brent Townshend	)	
	)	Group Art Unit: 2741
Serial No.: 09/311,617	)	
	)	
Filed: May 13, 1999	)	Examiner: Not Assigned
	)	
For: Automated Language	)	
Assessment Using Speech	)	
Recognition Modeling	)	

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
-- FILING DATE GRANTED --

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In accordance with the Notice to File Missing Parts of Application Filing Date Granted dated June 3, 1999, a copy of which is attached, enclosed herewith for filing are the following documents:

☒ Fully executed Declaration for Patent Application and  
Power of Attorney for the above-referenced patent application.

☒ Applicant is:

☒ a small entity, verified statement is:

☒ attached

☐ already filed

☐ other than small entity

☒ Check totaling \$ 562.00 for:

☒ Filing Fee of \$ 497

☒ Surcharge of \$ 65

☒ Petition for Three Month Extension of Time (37 C.F.R. § 1.136(a)) to file missing parts (in duplicate) and a check in the amount of \$ 435.00.

☐ Other

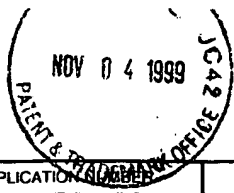
☒ The Commissioner is hereby authorized to charge any deficiencies in fees or credit overpayment to Deposit Account No. 13-2490. A duplicate copy of this paper is enclosed.

Respectfully submitted,



Matthew J. Sampson  
Registration No. 35,999  
Attorney for Applicant

McDonnell Boehnen Hulbert & Berghoff  
300 South Wacker Drive, 32nd Floor  
Chicago, Illinois 60606



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

*Sector #*

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/13/99 09/13/99 02627100

NOT RECORDED  
MAIL ROOM & RECEPTION  
3RD FLOOR  
300 SOUTH WALKER DRIVE  
CHICAGO IL 60606

DATE MAILED:

### NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$55.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
☐ small entity (statement filed) ☐ non-small entity is \$ 1124

☒ 1. The statutory basic filing fee is:

- ☐ missing.  
☐ insufficient.

Applicant must submit \$ 760 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. The following additional claims fees are due:

\$ \_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$ 234 for 3 independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.52(d), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

*P. Walker*  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

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